



Investor Education and Protection Fund Authority
Government of India
Ground Floor, JeevanVihar Building,
3, Parliament Street, New Delhi-110001

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04/03/2019-IEPFA/7(3)/N/26

09.09.2020

ORDER

SUBJECT: ORDER OF IEPF AUTHORITY FOR REJECTION OF SRN'S UNDER RULE 7(3) OF INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY (ACCOUNTING, AUDIT, TRANSFER AND REFUND) RULES, 2016 IN THE MATTER OF CLAIMS FILED FOR KOTAK MAHINDRA BANK LIMITED

1. WHEREAS, notice was given to the Nodal Officer of the Company and the Claimants under Rule 7 (3) of Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 as amended w.r.t Verification Reports along with documents pending for more than 90 Days on the registered office address of the company on 23.10.2019 and also on the email address of Nodal Officer of the Company as available with the Authority, AND on the email addresses of the claimants requesting informing that *in case of non-receipt of the documents by the Authority after the expiry of ninety days from the date of filing of Form IEPF-5, the Authority may reject the Form IEPF-5, after giving as an opportunity to the claimant to furnish response within a period of thirty days;* requesting the company to submit its Verification Reports within 30 days from the date of receipt of the said notice for the SRNs given in the said notice;
2. WHEREAS, the Authority had also given a public notice through print advertisement in all the leading newspapers in English and regional languages across the country and on the website of the Authority for information of the Companies and the Claimants giving them an opportunity to submit the required reports/documents for processing of the claims mentioned therein;
3. NOW, THEREFORE, based on the replies/reports received or non-receipt of replies/reports from the Companies and/or the Claimants, with the approval of competent authority, it is hereby ordered that the claims filed vide SRNs enclosed in Annexure-A are hereby summarily rejected pursuant to proviso of rule 7(3) Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 amended as on 13.10.2017.

(GAURAV GUPTA)
Deputy General Manager
IEPF Authority

| Annexure-A | | |
|---------------------|-----------|----------------------------------|
| KOTAK MAHINDRA BANK | | |
| S.No | SRN | Name of the Claimant |
| 1 | G73835449 | DILIPKUMAR M PANCHOLI |
| 2 | G75532143 | MANJANATH GUPTA K N |
| 3 | G76015718 | T.S.R. KRISHNA REDDY |
| 4 | G77274470 | RAZIYA SULTAN KHAN |
| 5 | G78002565 | madhu agarwal |
| 6 | G78315991 | RAKESH MALHOTRA |
| 7 | G78800232 | DEVANG SATYADEV DAVE |
| 8 | G80070543 | Shabbirali Alibaugwala |
| 9 | G82952458 | ALKA DHIRENBHAI SANGHAVI |
| 10 | G86887437 | KETAN M GATHANI |
| 11 | G87036935 | RADHA JHUNJHUNWALA |
| 12 | G90966300 | Mrs. Neelam Khemani |
| 13 | H16032344 | DHIRAJ KHANDELWAL |
| 14 | H18174193 | KAMLESHBHAI KHUNT |
| 15 | H21186176 | BATUKBHAI LAXMANBHAI RATHOD |
| 16 | H29112646 | Sushil Kumar Kak |
| 17 | H33297904 | HUMESH CHANDRA CHOPRA |
| 18 | H34252965 | mr. balkishan aggarwal |
| 19 | H44472678 | B R RAJESH |
| 20 | H44472181 | R SHANTHA LAKSHMI |
| 21 | H44988939 | ARPANA KUMARI |
| 22 | H47967187 | sunita kalra |
| 23 | H53278677 | BHOOPALAM VENKATRAM GOVINDA RAJU |
| 24 | H57383655 | Chamundeshwari V G Jayanthi |
| 25 | H57810103 | ASHMITABEN G PATEL |

