



Investor Education and Protection Fund Authority
Government of India
Ground Floor, Jeevan Vihar Building,
3, Parliament Street, New Delhi-110001

04/03/2019-IEPFA/7(3)/C/19

Date: 27/3/2021

To,
All the Concerned Companies as per Annexure-I

Subject: Notice under Rule 7 (3) of Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 as amended

Reference: IEPF 5 Claims filed and non-receipt of Verification Report from companies

Sir/ Madam,

In terms of rule 7(2) of Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 as amended (hereinafter referred to as "the said rules"), the claimants after filing claim through IEPF-5 are required to send documents with respect to the claim to the concerned company for verification.

2. Further, As per rule 7 (3) of the said rules the concerned company is required to submit its verification report along with documents submitted by the claimant to IEPF Authority **within 30 days** of filing of IEPF-5 form
3. Further, in case of non-receipt of verification report along with documents by the Authority and expiry of 60 days from the date of filing of Form No. IEPF-5, the Authority may reject Form No. IEPF-5, after sending a communication to the claimant and the concerned company to furnish response within a period of 15 days of receipt of the communication from Authority.
4. Further, Authority has not received verification reports from the companies though time limit prescribed under the above rule has elapsed. A list of these SRN's is enclosed as **Annexure-I**.
5. Companies are required to submit Verification Reports within 15 days from the date of this notice for the SRNs given in **Annexure-I** and in case the companies have not received required documents from their claimants, the status may be intimated accordingly so as to enable the Authority to take further necessary action.
4. Companies are required to submit their replies within 15 days from the date of this notice failing which necessary action shall be initiated without any further intimation.

Yours faithfully,

Deputy General Manager

IEPF Authority